



401(k) PLAN SUMMARY FOR TAX YEAR 2025

Name _____

IRS Business Code (see list provided): _____

Has there been any change in the owners or ownership percentages during the last plan year? If yes, please provide a copy of the updated corporate stock ledger.

Yes No

Do you have any leased employees?

Yes No

Including yourself, how many employees does the business employ? _____

Did you or any employee roll retirement funds into the plan?

Yes No How many people? _____ How much money? _____

What was the year end balance of the 401(k) plan's cash and assets? DO NOT include the corporate stock in this number. 401(k) assets might include brokerage accounts, real estate, gold, etc.

Did you or any employee borrow money from the plan? If so, what was the year-end balance still owed?

Yes No Year-end balance: \$ _____

Did you or any employee make contributions to the plan?

Yes No Total Employee Contribution _____ Total Employer Contribution _____

Do any of the owners of the company have ownership in any other businesses?

Yes No

If so, is the other business part of a controlled group?

Yes No

Were there any losses to the plan resulting from fraud or dishonesty during the plan year?

Yes No

Did the plan engage in any prohibited transactions with any owner and/or officer of the company?

Yes No

Are you offering participation in the company's 401(k) plan to employees of the business?

Yes No

Signature

Date

401(k) PLAN SUMMARY FOR TAX YEAR 2025

IMPORTANT INFORMATION REGARDING POTENTIAL PROHIBITED TRANSACTIONS:

- I understand that ANY interaction or co-commerce between any entity/business I have an ownership interest in and the new corporation that was set up by IRA Financial may constitute a prohibited transaction. If I decide that the entity or entities in which I have a personal ownership interest will interact with the C-Corporation in any way, I agree to consult with my outside legal counsel prior to such interaction. I agree to inform my outside legal counsel of all facts relating to any such possible interaction.

- I understand that I must provide complete and accurate responses to all of the questions within the plan year-end summary. Testing and reporting will be completed based on my responses. I hold IRA Financial harmless for inaccurate recordkeeping services arising from my failure to provide the plan year-end summary and any required supporting documents and information on a timely basis. I agree to hold IRA Financial harmless for any excises taxes or penalties resulting from my late filing of the Form 5500. I declare that I have completed this summary to the best of my knowledge and ability.